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Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 07-01)

		Application	on No.	Applicant(s)	
• Office Action Summary		09/888,00	04	PITTELLI, PATRICK	
		Examine		Art Unit	
		Beth Van	Doren	3623	-
The MA	ILING DATE of this c mmunicat	tion appears n the	e cover sheet with the	e correspondence address -	-
A SHORTENEI THE MAILING - Extensions of time after SIX (6) MON' - If the period for rep - If NO period for rep - Failure to reply with - Any reply received	D STATUTORY PERIOD FOR DATE OF THIS COMMUNICA may be available under the provisions of 3 THS from the mailing date of this communically specified above is less than thirty (30) deply is specified above, the maximum statuto hin the set or extended period for reply will, by the Office later than three months after it adjustment. See 37 CFR 1.704(b).	TION. 7 CFR 1.136(a). In no evation. ays, a reply within the statery period will apply and we by statute, cause the app	ent, however, may a reply be utory minimum of thirty (30) o ill expire SIX (6) MONTHS fro lication to become ABANDO	timely filed days will be considered timely. om the mailing date of this communica NED (35 U.S.C. § 133).	ition.
1)⊠ Respon	sive to communication(s) filed	on <u>22 June 2001</u>			
2a)☐ This act	ion is <b>FINAL</b> . 2b)	☐ This action is	non-final.		
	is application is in condition fo n accordance with the practice iims				ts is
4) Claim(s)	1-26 is/are pending in the app	olication.			
4a) Of the	e above claim(s) is/are v	withdrawn from co	nsideration.		
5) Claim(s)	is/are allowed.				
6)⊠ Claim(s)	<u>1-26</u> is/are rejected.				
7) Claim(s)	is/are objected to.				
8) Claim(s)	are subject to restriction	n and/or election r	equirement.		
Application Paper	'S				
9)∐ The speci	ification is objected to by the E	xaminer.			
10)∏ The drawi	ing(s) filed on is/are: a)	accepted or b)	objected to by the E	xaminer.	
	nt may not request that any object				
	osed drawing correction filed of			proved by the Examiner.	
	ved, corrected drawings are require		ffice action.		
•	or declaration is objected to by	the Examiner.			
_	U.S.C. §§ 119 and 120				
	edgment is made of a claim for	r foreign priority u	nder 35 U.S.C. § 119	9(a)-(d) or (f).	
<i>,</i> —	☐ Some * c)☐ None of:				
<u> </u>	ertified copies of the priority do				
2.☐ C€	ertified copies of the priority do	cuments have bee	en received in Applic	ation No	
	opies of the certified copies of the application from the Internation application for action for the action for	onal Bureau (PCT	Rule 17.2(a)).	•	
14) Acknowled	dgment is made of a claim for o	domestic priority u	inder 35 U.S.C. § 11	9(e) (to a provisional applic	cation).
	translation of the foreign langu dgment is made of a claim for		•		
Attachment(s)					
	nces Cited (PTO-892) person's Patent Drawing Review (PTO losure Statement(s) (PTO-1449) Pape	•		nary (PTO-413) Paper No(s) nal Patent Application (PTO-152)	<u>_</u> ·

#### **DETAILED ACTION**

1. The following is a non-final, first office action on the merits. Claims 1-26 are pending.

### Claim Objections

- 2. Claims 5, 10, and 22 are objected to because of the following informalities.
  - i. Claim 5 contains a typographical error. Claim 5 recites the limitation "wherein comprising using said funds to produce a record". This limitation is confusing as written and should more appropriately read --further comprising using said funds to produce a record--.
  - ii. Claim 10 contains a typographical error. Claim 10 recites the limitation "a sample of the artist work". This limitation should more appropriately read --a sample of the artist's work--.
  - iii. Claim 22 has an omitted punctuation mark. The claim should end with a period. Appropriate correction is required in each instance.
- 3. Furthermore, examiner respectfully requests that applicant reviews the claims and fixes other deficiencies, if any.

#### Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in-
- (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in

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section 351(a) shall have the effect under this subsection of a national application published under section 122(b) only if the international application designating the United States was published under Article 21(2)(a) of such treaty in the English language; or

- (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that a patent shall not be deemed filed in the United States for the purposes of this subsection based on the filing of an international application filed under the treaty defined in section 351(a).
- 5. Claims 1, 7, 9, 10-13, 15, 17, 20, 22, and 24-26 are rejected under 35 U.S.C. 102(e) as being anticipated by Riffage.com. The following references disclose different aspects of Riffage.com:
  - i. Article "Curtain closes for Riffage.com" by Lee (referred to herein as referenceA).
  - ii. Article "Riffage.com picks up indie record label" by Borland (referred to herein as reference B).
  - iii. Article "Bands and fans rub elbows on Riffage.com" by McIntosh (referred to herein as reference C).
  - iv. Screenshot of Riffage.com, dated 10/12/1999, (referred to herein as reference D).
- 6. As per claim 1, Riffage.com teaches a computer-implemented method for determining the market demand for an artist comprising:

receiving user input to determine which artist to select from a pool of artists (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving user input into the network to determine the artist with the strongest following to select from a pool of artists);

determining the market demand for said selected artist based on users contributing to a fund for said artist (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market

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demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, cd and t-shirt purchases, etc.); and

identifying artists that attain a predefined level of user contributions (See reference A, page 2, section 1, and reference B, page 1, section 4, which discusses artists that hit a predefined level of contributions automatically being identified).

- 7. As per claim 7, Riffage.com discloses a method further comprising maintaining information on said users, and using said information to market said artist (See reference C, page 2, sections 3, 4, 5, and 6, which discusses a user having a membership to the network and information on a user being maintained and used to market an artist).
- 8. As per claim 9, Riffage.com discloses an apparatus for determining the market demand for an artist comprising:

means for receiving user input to determine which artist to select from a pool of artists (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving user input into the network to determine the artist with the strongest following to select from a pool of artists);

means for determining the market demand for said selected artist based on users contributing to a fund for said artist (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, purchases, etc.); and

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means for identifying selected artists that attain a predefined level of user contributions (See reference A, page 2, section 1, and reference B, page 1, section 4, which discusses artists that hit a predefined level of contributions automatically being identified).

9. As per claim 10, Riffage.com teaches a method for an artist to obtain financial support comprising:

receiving and storing on a file server a sample of the artist work (See reference A, page 1, section 1, reference C, page 1, sections 2 and 3, and page 2, section 1-3, and reference D, section 2, which provides means for receiving and storing works of artists);

evaluating the artists work from a pool of artists (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving user input into the network to determine the artist with the strongest following to select from a pool of artists);

selecting an artist based on said artist's work to be eligible for financial contributions from users (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, wherein the artist is selected by users as eligible for financial contributions for said user); and

establishing a fund of any such contributions for an artist who meets a predefined criteria (See reference C, page 1, sections 2, 3, and 4, and page 2, sections 1 and 2, which discloses users making financial contributions to artists that are registered with the system. When an artist reaches a predefined level of support from users he/she is automatically sent to a recording label representative. See reference B, section 4).

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10. As per claim 11, Riffage.com teaches a method further comprising making the fund available to the artist to produce a musical record (See reference A, page 1, section 1, reference B, page 1, section 4, and reference C, page 1, section 4, and page 2, section 1, which discloses the artist having access to the fund, thus generating a profit for the artist, and the artist being able to produce a musical record).

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- 11. As per claim 12, Riffage.com teaches a method further comprising releasing the fund to the artist based on the artist meeting predefined criteria (See reference A, page 1, section 1, and reference C, page 1, sections 2-4, and page 2, section 1-2, wherein a registered artist that makes a profit for the network is entitled to a potion of the funding).
- 12. As per claim 13, Riffage.com discloses a method further comprising returning the selected artist to the pool of artists if artist fails to meet predefined criteria (See reference B, page 1, section 4, wherein the selected artist is offered to record label talent scouts and may or may not be signed to a label. If not, the artists remains in the general pool of artists).
- 13. As per claim 15, Riffage.com teaches a method wherein selecting an artist to be eligible for financial support is determined by a panel of individuals (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving inputs from a group of individuals into the network to determine the artist with the strongest following for selection from a pool of artists. See also reference B, section 4, where a panel of individuals selects artists).
- 14. As per claim 17, Riffage.com discloses a computer implemented system for determining the market demand for an artist comprising:

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a file server (See reference C, page2, sections 5 and 6, which discloses a network that serves files to a user); and

a processor programmed for implementing instructions for:

receiving and storing user input on said file server to determine which artist to select from a pool of artists (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving user input into the network to determine the artist with the strongest following to select from a pool of artists);

determining the market demand for said selected artist based on users contributing to a fund for said artist (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, purchases, etc.); and

identifying artists that attain a predefined level of user contributions (See reference A, page 2, section 1, and reference B, page 1, section 4, which discusses artists that hit a predefined level of contributions automatically being identified).

15. As per claim 20, Riffage.com discloses a system comprising instructions for selecting artists from a pool of artists using inputs from a panel of individuals (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving inputs from a group of individuals into the network to determine the artist with the strongest following for selection from a pool of artists. See also reference B, section 4, where a panel of individuals selects artists).

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16. As per claim 22, Riffage.com discloses a system for presenting artists to determine market demand for artists comprising a computer programmed having instructions for implementing the method of:

receiving and storing the work of artists on a file server (See reference A, page 1, sections 1 and 2, reference B, page 1, section 4, and reference C, page 1, sections 2 and 3, and page 2, sections 5 and 6, which disclose receiving and storing works of artists on a network. This network serves the files to members);

dividing the stored received works into categories (See reference D, page 1, section 1, which discloses different categories of music that the works are grouped into);

selecting works from categories (See reference A, page 1, section 1, reference C, page 2, section 2, and reference D, page 1, section 1, wherein users select works from the categories using the genres menu);

elevating selected works into positions that are eligible to receive financial support from users (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, wherein selected works are in a position that is eligible to receive financial support from users); and

promoting the artists who receive a predefined amount of financial contributions (See reference A, page 1, section 1, and page 2, section 1, and reference B, page 1, section 4, which discusses promoting the artists who receive an level of financial contributions that automatically promotes them to record label representatives).

17. As per claim 24, Riffage.com teaches an article comprising a computer-readable medium that stores computer executable instructions for causing a computer system to:

receive user input to determine which artist to select from a pool of artists (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving user input into the network to determine the artist with the strongest following to select from a pool of artists);

determine the market demand for said selected artist based on users contributing to a fund for said artist (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, purchases, etc.); and

identify artists that attain a predefined level of user contributions (See reference A, page 1, section 1, and page 2, section 1, and reference B, page 1, section 4, which discusses promoting the artists who receive an level of financial contributions that automatically promotes them to record label representatives).

- 18. As per claim 25, Riffage.com teaches an article wherein users select and contribute to an artist through a network connection (See reference A, page 1, sections 1 and 2, reference C, page 1, sections 1, 2, 3, and 4, and page 2, sections 5 and 6, and reference D, page 1, which discloses a network connection between a user and the website by which users select artists and contribute to their funds through downloads and purchases).
- 19. As per claim 26, Riffage.com discloses a computer implemented apparatus for determining market demand for an artist comprising:

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means for receiving and storing works of artists (See reference A, page 1, section 1, reference C, page 1, sections 2 and 3, and page 2, section 1-3, and reference D, section 2, which provides means for receiving and storing works of artists);

means for enabling users to access the stored works (See reference A, page 1, sections 1 and 2, reference C, page 1, sections 1, 2, 3, and 4, and page 2, sections 5 and 6, and reference D, page 1, which discloses a network connection between a user and the website by which users select artists and contribute to their funds through downloads and purchases);

means for receiving user input to elevate artist from the general artist pool (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving user input into the network to determine the artist with the strongest following to select from a pool of artists);

means for making elevated artists eligible for financial contributions from users (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, wherein selected works are in a position that is eligible to receive financial support from users); and

means for making financial contributions from users available to artist that meet predefined criteria (See reference C, page 1, sections 2, 3, and 4, and page 2, sections 1 and 2, which discloses making financial contributions from users available to artists that bring in money and are registered with the system. When an artist reaches a predefined level of support from users he/she is automatically sent to a recording label representative. See reference B, section 4).

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## Claim Rejections - 35 USC § 103

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- 20. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 21. Claims 4-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over Riffage.com.

  The following references disclose different aspects of Riffage.com:
  - i. Article "Curtain closes for Riffage.com" by Lee (referred to herein as reference

A).

- ii. Article "Riffage.com picks up indie record label" by Borland (referred to herein as reference B).
- iii. Article "Bands and fans rub elbows on Riffage.com" by McIntosh (referred to herein as reference C).
- iv. Screenshot of Riffage.com, dated 10/12/1999, (referred to herein as reference D).
- 22. Claims 2, 3, 8, 14, 16, 21, and 23 are rejected under 35 U.S.C. 103(a) as being unpatentable over Riffage.com in view of "7up launches a one-of-a-kind Internet Music Program" (Business Wire). The above-cited references are used to disclose different aspects of Riffage.com.
- 23. Claims 18 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Riffage.com in view of "TV Media goes from covering the news to making it" (PR Newswire). The above-cited references are used to disclose different aspects of Riffage.com. d to herein as reference D).

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As per claim 2, Riffage.com teaches a method wherein said user input is in the form of user comments, user financial inputs, user interactions, and user rankings (See reference A, page 1, section 1, and page 2, section 1, reference B, section 4, and reference C, page 1, section 3, and page 2, sections 1, 2, 5, and 6, which discuss the user inputting into the network comments, financial support, interactions, and rating/ranking information).

However Riffage.com does not expressly disclose that this input is votes.

"7up launches a one-of-a-kind Internet Music Program" discloses user input as votes (See page 1, sections 4, 5, and 6, which discloses users inputting their votes).

Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to have the users of Riffage.com include votes in the comments and ratings they supply to the network in order to more efficiently and more fairly choose the best artists by using a consistent and universal measure of artist goodness.

As per claim 3, Riffage.com discloses a method wherein users contribute to an artist who may attain a predefined level of user contributions and associating these users with the artist (See reference A, page 1, section 1, reference B, page 1, section 4, and reference C, page 2, section 4-6, which discloses users contributing to an artist who may attain a predefined level of user contributions and also associating these users with the artist). However, Riffage.com does not expressly disclose supplying these users with a recognition certificate regarding said artist.

"7up launches a one-of-a-kind Internet Music Program" discloses giving users interacting with the network music related merchandise (See page 1, section 5).

Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to provide the users of Riffage.com contributing to an artist that attains a predefined level of user contributions a certificate regarding said artist in order to increase user participation by providing incentives to contribute to the artists.

As per claim 8, Riffage.com discloses users contributing money to an unsigned artist (See reference B, page 2, section 2, and reference C, page 1, section 1, which discloses users giving an artist money contributions). Riffage.com further teaches choosing the best artists based on user input and contributions (See reference A, page 1, sections 1 and 2, and page 2, section 1, reference B, section 4, and reference C, page 1, section 4, and page 2, section 1, which discusses funding being provided to the artist and the best artists attaining potential record deals). However, Riffage.com does not expressly disclose limiting the number of users permitted to contribute to said artist.

"7up launches a one-of-a-kind Internet Music Program" discloses limiting the number of users permitted to contribute to said artist to a predefined time period (See page 1, sections 5 and 6). However, "7up launches a one-of-a-kind Internet Music Program" does not disclose that only a predefined number of people may vote.

Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to

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one of ordinary skill in the art at the time of the invention to limit the number of user inputs of Riffage.com when determining the leading unsigned artists in order to more efficiently and more fairly choose the best artists by using a consistent and universal measure of artist goodness.

Furthermore, voting needs to be limited by some condition in order for a contest to end and the results to be tabulated. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to limit the users of "7up launches a one-of-a-kind Internet Music Program" by number or time period in order to efficiently produce fair and timely results by establishing standards and regulations ahead of time for how the contest will occur.

As per claim 14, Riffage.com teaches a method wherein selecting an artist to be eligible for financial support is based on the artist who attains a large amount of user input and interaction (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, purchases, etc. See also reference A, page 2, section 1, and reference B, page 1, section 4, which discusses artists that hit a predefined level of contributions automatically being identified). However, Riffage.com does not expressly disclose that attaining a large amount of input and interaction is specifically attaining the largest number of user votes.

"7up launches a one-of-a-kind Internet Music Program" discloses that the artist that receives the largest number of user votes is eligible for financial support (See page 1, section 6, which discloses the artist receiving the largest number of user votes receiving a financial prize as well as the potential for a record label).

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Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to give financial support to the artist that receives the largest number of user votes in the method of Riffage.com instead of to the artists receiving large amounts of user input and interaction in order to increase the money brought into the site by the artists by creating a competitive environment amongst the artists.

As per claim 16, Riffage.com teaches a method wherein selecting an artist to be eligible for financial support is determined by a panel of individuals (See reference A, page 2, section 1, reference B, sections 3 and 4, and reference C, page 1, section 3, and page 2, sections 5 and 6, which discuss receiving inputs from a group of individuals into the network to determine the artist with the strongest following for selection from a pool of artists. See also reference B, section 4, where a panel of individuals selects artists). However, Riffage.com does not expressly disclose that the artist is selected in part by a panel of individuals and in part by user votes.

"7up launches a one-of-a-kind Internet Music Program" that the artist is selected in part by a panel of individuals and in part by user votes (See page 1, sections 4-6, which outline the process of selecting the artist which involves a panel of individuals as well as voting users).

Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to use both a panel of individuals and user votes to determine the artist to receive financial support in order to increase the consistency and accuracy of the decision by having two groups reach a decision than just one.

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29. As per claim 21, Riffage.com teaches a system wherein a number of artists can be selected to receive financial support (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss a number of artists selected by users to receive financial support). However, Riffage.com does not expressly disclose that this number of artists is predefined.

"7up launches a one-of-a-kind Internet Music Program" discloses that the number of artists selected is predefined (See page 1, sections 4, 5, and 6, which discloses selecting predefined numbers of artists).

Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to limit the number of artists that would receive financial support in order to more effectively promote and fund these artists by having more time and money to invest in a smaller number of supported artists.

30. As per claim 23, Riffage.com teaches a system comprising artists receiving financial contributions from user (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss artists receiving financial support). However, Riffage.com does not expressly disclose instructions by which the system limits the number of positions available for artists.

"7up launches a one-of-a-kind Internet Music Program" discloses instructions for limiting the number of positions available for artists (See page 1, section 4, which discloses instructions for limiting the number of positions available).

Both Riffage.com and "7up launches a one-of-a-kind Internet Music Program" discuss networks that promote unsigned artists through user interaction. It would have been obvious to one of ordinary skill in the art at the time of the invention to limit the positions of Riffage.com available to artists for receiving financial support in order to more effectively promote and fund the artists by having more time and money to invest in a smaller number of supported artists.

31. As per claim 4, Riffage.com discloses a method further comprising making available to each artist attaining a level of user contribution funds from the user's contribution to the artist to produce and commercialize the artist (See reference C, page 1, sections 3 and 4, and page 2, sections 1 and 4, which discloses giving each artist that attains funds on the site some of the money to produce and commercialize their band). However, Riffage.com does not expressly disclose that the band must attain a predefined level of user contribution funds.

Riffage.com gives a service to unsigned artists in return for a cut of their profits that pays for said service. It would be obvious to one of ordinary skill in the art at the time of the invention to require a certain level of financial input for an artist before paying the artist a part of the financial input in order to increase the profitability of Riffage.com by ensuring that they are paid for their services. It would be obvious to one of ordinary skill in the art that an artist that does not make enough money to pay for the service (ex. the band brings in no funding) should not receive a profit.

32. As per claim 5, Riffage.com teaches a method wherein comprising using said funds to produce a record from said funds when said artist is a musician (See reference A, page 1, sections 1 and 2, and page 2, section 1, reference B, section 4, and reference C, page 1, section 4,

and page 2, section 1, which discusses funding being provided to the artist, who is a musician, as well as the ability to attain a record label using the profits).

33. As per claim 6, Riffage.com teaches a method providing said artist with a level of the fund (See reference C, page 1, sections 3 and 4, and page 2, sections 1 and 4, which discloses giving each artist that attains funds on the site some of the money to produce and commercialize their band). However, Riffage.com does not expressly disclose providing said artist the entire fund based on the completion of a predefined criteria.

Riffage.com discusses providing a larger amount of an artist's fund to the artist by using advertising to make up the difference in moneys as stated in reference C, page 1, section 4, and page 2, section 1. It would have been obvious to one of ordinary skill in the art at the time of the invention to provide the entirety of the funding to an artist of Riffage.com if the artist meets predefined criteria in order to increase the profitability of an artist to the site by giving the artist an incentive to better market himself.

As per claim 18, Riffage.com teaches a system further comprising instructions for 34. receiving user contributions for a fund of an artist trying to attain a predefined level of user support (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, purchases, etc. Artists that hit a predefined level of contributions are automatically identified). However, Riffage.com does not expressly disclose

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refunding user contributions in the fund it the artist fails to attain a predefined level of user support.

"TV Media goes from covering the news to making it" discloses refunding user contributions in the fund it the artist fails to attain a predefined level of user support (See page 1, sections 1 and 2, and page 2, section 2, which discloses refunding a contribution to the supporter of the artists if the fund fails to reach a predefined level).

Both Riffage.com and "TV Media goes from covering the news to making it" discusses receiving funding from supporters of artists in an attempt to reach a predefined level of funding. It would have been obvious to one of ordinary skill in the art at the time of the invention to refund the contributions of users of Riffage.com if an artist does not reach a predefined level of support in order to increase the users' confidence in the system by ensuring that the highest quality artists are being provided to the users and by ensuring that the system is standing by its agreements.

35. As per claim 19, Riffage.com teaches a system further comprising instructions for receiving user contributions for a fund of an artist trying to attain a predefined level of user support (See reference A, page 1, section 1, and page 2, section 1, reference B, page 1, section 4, and reference C, page 1, section 3 and 4, which discuss determining the market demand for an artist by looking at the amount of money users contribute to the account of the artist by supporting said artist by downloads, purchases, etc. Artists that hit a predefined level of contributions are automatically identified). However, Riffage.com does not expressly disclose for redistributing user contributions in a different fund if the artist fails to attain this predefined level of user contributions.

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"TV Media goes from covering the news to making it" discloses refunding user contributions in the fund it the artist fails to attain a predefined level of user support (See page 1, sections 1 and 2, and page 2, section 2, which discloses refunding a contribution to the supporter of the artists if the fund fails to reach a predefined level). However, "TV Media goes from covering the news to making it" does not expressly disclose redistributing user contributions in a different fund if the artist fails to attain this predefined level of user contributions.

Both Riffage.com and "TV Media goes from covering the news to making it" discusses receiving funding from supporters of artists in an attempt to reach a predefined level of funding. It would have been obvious to one of ordinary skill in the art at the time of the invention to refund the contributions of users of Riffage.com if an artist does not reach a predefined level of support in order to increase the users' confidence in the system by ensuring that the highest quality artists are being provided to the users and by ensuring that the system is standing by its agreements.

When a contributor is refunded money he/she would redonate the contribution to the artist he/she chooses. It would have been obvious to one of ordinary skill in the art at the time of the invention to redistribute the contributions in the event that an artist did not attain a predefined level of contributions in order to increase the probability of a different artist succeeding at attaining the predefined level of contributions.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

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Kaplan (U.S. 5,963,916) discusses a previewing system for sampling an artist on a network. The user becomes a subscriber and profile information about the user is stored.

Goldman (U.S. 6,067,562) teaches a system and method of downloading music from a network.

"Musicosm launches the first interactive MP3 Record Label" (www.hitsquad.com) discusses an online system for artists that allows the artists to gain user support and funding.

Dunphy ("Universal label helps unsigned bands win an online audience") discusses an online system for artists that allows the artists to gain support and votes from users in a chance to win a record deal and money.

"VH1's new reality based series 'Bands on the Run'" (PR Newswire) teaches a fundraising competition between new artists wherein the artists need user contributions to attain a predefined level of funding and market demand that elevates them to a higher level in the competition.

Treffiletti ("IUMA Partners with Listen.com to provide artists with iuma's website creation tools") discusses an online system for artists that allows them to promote their art and gain support.

Entertainment Editors ("Internet Music Fans vote for their favorite unsigned artists and enter to win prizes in the first annual demo derby") teaches an online funding and voting system for artists.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Beth Van Doren whose telephone number is (703) 305-3882. The examiner can normally be reached on M-F, 8:30-5:00.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tariq Hafiz can be reached on (703) 305-9643. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 305-7687 for regular communications and (703) 305-7687 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-1113.

bvd

October 29, 2002

TARIO R. HAFIZ SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 3600